

Please type a plus (+) inside this box +

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	9661-041-999	Total Pages	87
---------------------	--------------	-------------	----

First Named Inventor or Application Identifier	
--	--

Chi-Ming CHE

Express Mail Label No.	EV335857070US
------------------------	---------------

APPLICATION ELEMENTS

See MPEP chapters 600 concerning utility patent application contents.

Commissioner for Patents
P.O. Box 1450
Mail Stop PATENT APPLICATION
Alexandria, VA 22313-1450

Address to:

- | | |
|---|---|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form
<i>(Submit an original, and a duplicate for fee processing)</i> | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program
(Appendix) |
| 2. <input checked="" type="checkbox"/> Applicant claims Small Entity status, see 37 C.F.R. § 1.27 | 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
<i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 64]
<i>(preferred arrangement set forth below, MPEP 1503.01)</i> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description of the Invention (including drawings, if filed) - Claim(s) - Abstract of the Disclosure | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
37 CFR 3.73(b) Statement
<i>(when there is an assignee)</i> <ul style="list-style-type: none"> <input type="checkbox"/> Power of Attorney |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 16] | 10. <input type="checkbox"/> English Translation Document (if applicable)
Information Disclosure Statement (IDS)/PTO-1449 <ul style="list-style-type: none"> <input type="checkbox"/> Copies of IDS Citations |
| 5. <input checked="" type="checkbox"/> Oath or Declaration [Total Sheets 2] <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
<i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 11. <input type="checkbox"/> Preliminary Amendment
Return Receipt Postcard (MPEP 503) <ul style="list-style-type: none"> <input type="checkbox"/> Certified Copy of Priority Document(s)
<i>(if foreign priority is claimed)</i> |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 12. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(i). Applicant must attach form PTO/SB/35 or its equivalent
13. <input type="checkbox"/> Other: |
| | 14. <input checked="" type="checkbox"/> Other: |

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) | of prior application No.: filed

Prior application information:

Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number	20583	or <input type="checkbox"/> Correspondence address below
---	-------	--

Insert Customer No.

<i>NAME</i>			
<i>ADDRESS</i>			
<i>CITY</i>	<i>STATE</i>	<i>ZIP CODE</i>	
<i>TELEPHONE</i>		<i>FAX</i>	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Express Mail No. EV335857070US

PENNIE & EDMONDS LLP
COUNSELORS AT LAW
1155 Avenue of the Americas
New York, New York 10036-2711

ATTORNEY DOCKET NO. 9661-041-999

Date: September 16, 2003

Commissioner for Patents
P.O. Box 1450
Mail Stop PATENT APPLICATION
Alexandria, VA 22313-1450

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Chi-Ming CHE Executed on: September 16, 2003

Title of Invention: METHODS FOR USIGN GOLD(III) COMPLEXES
AS ANTI-TUMOR AND ANTI-HIV AGENTS

PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	Fee
Total Claims	63	- 20	43	\$18.00 each	\$ 774
Independent	12	- 3	9	\$84.00 each	\$ 756
			Minimum Fee		\$ 750
			Multiple Dependency Fee		
			If Applicable (\$280.00)		\$ 0
				Total	\$ 2280
			Applicant qualifies for the 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern		
					\$ 1140
				Total Filing Fee	\$ 1140

- [] **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
- [X] Priority of application no. 60/411,423 filed on September 16, 2002 is claimed under 35 U.S.C. § 119.
- [] The certified copy of the priority application has been filed in application no. filed on .
- [] Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed on .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,
Daniel L. Kleinman, Reg. No. 53,112 for
Gidon D. Stern _____
Gidon D. Stern 27,469
PENNIE & EDMONDS LLP Reg No.

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.